

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenichi HAYASHI, Yoshifusa MIYASAKA, Yuji FUJITA and Tetsuro OKAMURA all of  
Nagano, Japan

Serial No: Not assigned

Filed: November 10, 2003

For: Optical Head Device and Objective Lens for Optical Head Device

17497 U.S. PTO  
10/705807  
111003

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021

Transmitted herewith for filing is the patent application identified above.

☒ 6 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.☒ 29 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☒ An assignment of the invention to SANKYO SEIKI MFG. CO., LTD. ☐ is enclosed ☒ will follow.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☐ Applicant claims small entity status. See 37 CFR 1.27.☒ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.☒ A certified copy of Japanese Patent Application No. 2002-350432 filed December 2, 2002 from which priority is claimed under 35 U.S.C. § 119 will follow.☒ IDS enclosed (☒ with 1 reference(s)).☐ Preliminary Amendment is enclosed.☐ Application data sheet is enclosed. See 37 CFR 1.76.☒ Return postcard is enclosed.

## CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	20	-20	0	LG=\$18 SM=\$9	\$18	\$ 0
B INDEPENDENT CLAIMS FEE*	3	-3	0	LG=\$86 SM=\$43	\$86	\$ 0
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						0
D MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145		\$ 0
E BASIC FEE				LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385		\$ 770
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 770
G ASSIGNMENT RECORDING FEE					\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1, 7 and 20						

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.☐ Any additional filing fees required under 37 C.F.R. 1.16☐ Any patent application processing fees under 37 C.F.R. 1.17Respectfully submitted,  
HOGAN & HARRISON LLP.

By:

Anthony J. Oler  
Registration No. 61,232  
Attorney for Applicant(s)

Date: November 10, 2003

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Kenichi YAHASHI et al.

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Art Unit: Not assigned

Examiner: Not assigned

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Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application ( 29 page(s) of specification; 20 claim(s); 1 page(s) of abstract
- ☒ 6 sheet(s) of formal drawings
- ☒ Information Disclosure Statement with 1 reference
- ☒ return postcard


are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

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Date: November 10, 2003

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Reynaldo Gallardo  
Name of person mailing papers

  
Signature